

## **ETHICAL TUNA COLLABORATION SURVEY**

*If you are reading this in a language other than English, this document was machine translated. Please excuse any errors.*

*All questions required unless designated as optional. Most open answer questions are optional. Red text indicates survey navigation.*

### **[Pg. 1] INTRODUCTION**

Thank you for taking the time to provide input on the Ethical Tuna Collaboration's design. This feedback period focuses on buyer and vessel owner responsibilities and data. (A separate feedback period beginning in October will focus on proposals for governance, transparency, and improvement/capacity-building program elements.)

Before taking the survey, please review:

- [ETC overview](#) - provides context for understanding the two program design proposals
- [Proposed buyer responsibilities](#) and annual progress report data
- [Proposed vessel owner responsibilities](#) and vessel-level data

Please respond to the survey in English. If possible, please consolidate feedback across your organization into a single response.

Your responses to this survey are confidential. Below, we ask for your name, organization, and email address so that we can track who has responded. This information will be accessed only by ETC's project team. Anonymous individual responses may be shared confidentially with ETC's working group, but will not be shared publicly. In October, ETC will share a public summary of feedback themes along with information about how feedback has been incorporated into ETC's design.

If you have any questions, please reach out to Danielle Lewis ([danielle@springboard.partners](mailto:danielle@springboard.partners)).

### ***Respondent information***

- Name: [open field]
- Title: [open field]
- Organization or company name: [open field]
- Email: [open field]

### **[Pg. 2] ALL RESPONDENTS**

#### ***Buyer responsibilities***

Please answer the following questions based on your review of the buyer responsibilities document.

- Overall, the proposed buyer responsibilities are clear. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- What parts, if any, of the proposed buyer responsibilities need greater clarity? [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- Overall, the proposed buyer responsibilities support ETC's objectives of buyer participants communicating consistent expectations through their supply chains and using their individual decision making to incentivize and reward progress. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- Please share any questions, concerns, or other feedback about the proposed buyer responsibilities. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]

### ***Vessel owner responsibilities***

Please answer the following questions based on your review of the vessel owner responsibilities document.

- Overall, the proposed vessel owner responsibilities are clear. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- What parts, if any, of the proposed vessel owner responsibilities need greater clarity? [open field, optional]
- Overall, the proposed vessel owner responsibilities support ETC's objectives of tracking vessel-level improvement toward the four ETC priorities and providing information that enables buyers to use their individual decision making to incentivize and reward progress. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- Do the proposed benefits of ETC participation create a strong value proposition for vessel owners? What, if anything, would strengthen the value proposition? [open field, optional]
- Please share any questions, concerns, or other feedback about the proposed vessel owner responsibilities. [open field, optional]
- To take you to the appropriate next questions, please tell us if you work for:
  - Vessel owner
    - *Go to Pg. 8 (vessel owner respondents)*
  - Vessel association
    - *Go to Pg. 9 (vessel association respondents)*
  - Any other entity - *continue to Pg. 3 below*

### **[Pg. 3] NGO/CSO RESPONDENTS, OTHER RESPONDENTS, BUYER RESPONDENTS**

#### ***Annual progress report***

Please answer the following questions based on your review of the “annual progress report” section of the buyer responsibilities document.

- Please review the blue section of the annual progress report table. It is intended to track buyer fulfillment of participation responsibilities. What, if any, recommendations do you have for strengthening the clarity, feasibility, and effectiveness of the annual progress report from buyers? [open field, optional]
- Please review the yellow section of the annual progress report table. It is intended to encourage buyers to improve their practices over time while not inhibiting buyer participation in ETC. The practices covered are recognized best practice but not yet the norm in tuna supply chains. Overall, this approach strikes a good balance between encouraging improvement and enabling broad buyer participation. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- What adjustments, if any, would you recommend to the yellow section of the annual progress report table and why? [open field, optional]
- To take you to the appropriate next questions, please tell us if you work for:
  - Company that buys tuna (at any level of the supply chain)
    - *Go to Pg. 7 (buyer respondents)*
  - Non-governmental organization (NGO) / civil society organization (CSO)
    - *Go to Pg. 4 (NGO/CSO respondents)*
  - Other
    - *Go to Pg. 5 (other respondents)*

### **[Pg. 4] NGO/CSO RESPONDENTS**

#### ***NGO/CSO organizational information***

- Organization headquarters location: [country dropdown]
- Organization's primary focus: [select multiple] human and labor rights, conservation, certification, vessel-level improvement, buyer advising, policy advocacy, data collection/analysis, audits/assessments, worker organizing, migrant fisher service/support, network/coalition, other (please describe)

*Go to Pg. 6 (questions for both NGO/CSO and Other respondents)*

### **[Pg. 5] OTHER RESPONDENTS**

#### ***Other respondents organizational information***

- Organization headquarters location: [country dropdown]
- Which category best describes your organization?: academic/research, auditor/assessor, HRDD consulting, trade association, government, data platform, funder, investor/advisor to investors, other (please describe)

*Go to Pg. 6 (questions for both NGO/CSO and Other respondents)*

## **[Pg. 6] NGO/CSO RESPONDENTS, OTHER RESPONDENTS**

### **Vessel-level data**

*Please answer the following questions based on your review of the “data from vessel owners” section of the vessel owner responsibilities document.*

- The proposed data from vessel owners will enable ETC to establish a baseline and track whether vessels are improving in ETC’s four priority areas. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- The proposed data from vessel owners will enable ETC to ensure its resources and focus align with areas of greatest risk, which may evolve over time. [rating: 1=disagree strongly, 2=disagree somewhat, 3=agree somewhat; 4=agree strongly]
- While this proposal focuses on data that vessel owners would provide to ETC, ETC would like to use worker-led data to replace or supplement vessel owner data where possible. Please share any existing sources of worker-led data that might be open to a partnership with ETC. [open field, optional]
- Based on our research, we believe that existing worker-led data related to recruitment fees cannot be linked to vessels at scale. Please share your perspective on whether it would be valuable for ETC to develop a way to collect data from fishers to help fill this gap. [open field, optional]
- **LOGIC QUESTION:** Does your work include direct engagement with vessel owners, such as collecting data or supporting improvements? [Yes/No]
  - **If NO:** Conclude survey
  - **If YES:** Go to Pg. 10 (reporting feasibility)

## **[Pg. 7] BUYER RESPONDENTS**

### **Annual progress report - continued**

*Please answer the following questions based on your review of the “annual progress report” section of the buyer responsibilities document.*

- In the “obtain vessel lists from suppliers” section of the progress report, buyers are encouraged to disclose their vessel list confidentially to ETC. Please select the answer below that best describes how you feel about this proposal.

- My company is willing to share our vessel list confidentially with ETC.
- My company would consider sharing our vessel list confidentially with ETC if certain conditions are met.
- My company is unwilling to share our vessel list confidentially with ETC.
- Please explain your answer to the question above. Why are you willing or unwilling? What conditions would need to be met? [open field - REQUIRED]

### **Company information**

- Company headquarters location: [country dropdown]
- What is the industry category that best describes your company?: [select one] retailer, institutional food service, restaurant chain, hospitality, pet food, distributor, wholesaler, manufacturer, importer, processor, tuna brand, broker, trader, other (please describe)
- What are the primary types of tuna you buy/sell? [select multiple]: fresh, frozen, shelf-stable, other (please describe)
- **LOGIC QUESTION:** Do you purchase any of your tuna directly from vessel owners (versus from mid-supply chain companies like traders, processors, importers, etc.)? [yes/no/don't know]
  - **IF NO / DON'T KNOW:** Conclude survey
  - **IF YES:** Go to pg. 10 (reporting feasibility)

### **[Pg. 8] VESSEL OWNER RESPONDENTS**

#### **Vessel owner information**

- Company headquarters location: [country dropdown]
- # of vessels owned: [1-5, 6-10, 11-20, 21-50, 51-100, 100+]
- What are the primary gear types the tuna vessels you own use? [select multiple]: purse seine, longline, handline, other (please describe)

*Go to pg. 10 (reporting feasibility)*

### **[Pg. 9] VESSEL ASSOCIATION RESPONDENTS**

#### **Vessel association information**

- Association name: [open field]
- Association headquarters location: [country dropdown]

- # of vessels managed by or engaged in association: [1-5, 6-10, 11-20, 21-50, 51-100, 100+]
- What are the primary gear types the tuna vessels in your association use? [select multiple]: purse seine, longline, handline, other (please describe)

*Go to pg. 10 (reporting feasibility)*

## **[Pg. 10] REPORTING FEASIBILITY**

### **Reporting feasibility**

*Please answer the following questions based on your review of the tables in the “data from vessel owners” section of the vessel owner responsibilities document.*

- For the basic Information table, how feasible is it for vessel owners to report this information now or within the next year?
  - Feasible to report on all or nearly all KDEs/indicators
  - Feasible to report on some KDEs/indicators
  - Feasible to report on few or no KDEs/indicators
- If you replied that it is feasible to report only on some or few or no KDEs/indicators, which are not feasible to report on and why? [open field, optional]
- Looking at the “Timely payment of wages” section of the Priorities table, how feasible is it for vessel owners to report this information now or within the next year?
  - Feasible to report on all or nearly all KDEs/indicators
  - Feasible to report on some KDEs/indicators
  - Feasible to report on few or no KDEs/indicators
- If you replied that it is feasible to report only on some or few or no KDEs/indicators, which are not feasible to report on and why? [open field, optional]
- Looking at the “Employer-paid recruitment fees” section of the Priorities table, how feasible is it for vessel owners to report this information now or within the next year?
  - Feasible to report on all or nearly all KDEs/indicators
  - Feasible to report on some KDEs/indicators
  - Feasible to report on few or no KDEs/indicators
- If you replied that it is feasible to report only on some or few or no KDEs/indicators, which are not feasible to report on and why? [open field, optional]

- Looking at the “Fisher access to Wi-Fi while at sea” section of the Priorities table, how feasible is it for vessel owners to report this information now or within the next year?
  - Feasible to report on all or nearly all KDEs/indicators
  - Feasible to report on some KDEs/indicators
  - Feasible to report on few or no KDEs/indicators
  
- If you replied that it is feasible to report only on some or few or no KDEs/indicators, which are not feasible to report on and why? [open field, optional]
  
- Looking at the “fisher access to effective grievance mechanisms” section of the Priorities table, how feasible is it for vessel owners to report this information now or within the next year?
  - Feasible to report on all or nearly all KDEs/indicators
  - Feasible to report on some KDEs/indicators
  - Feasible to report on few or no KDEs/indicators
  
- If you replied that it is feasible to report only on some or few or no KDEs/indicators, which are not feasible to report on and why? [open field, optional]
  
- What concerns, if any, about data confidentiality or security would ETC need to address in order for vessel owners to feel comfortable reporting this information? [open field, optional]
  
- We anticipate that vessel owners would report this information to a web-based platform where they would answer questions and upload evidence. What capacity-building or other support would vessel owners need to report this information? [open field, optional]

## **CONCLUDE SURVEY**